S/N New Filing PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

M&G Code No. 23552

Applicant:

Xu et al.

Examiner:

New Filing

Serial No.:

New Filing

Group Art Unit:

New Filing

Filed:

August 22, 2003

Docket No.:

758.1254USC1

Title:

Filter Element Having Sealing Members And Methods

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 347838299 US

Date of Deposit: August 22, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Cont. Appl., P.O. Box 1450, Alexandria, VA 22313-1450.

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Cont. Appl.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/875,844 filed on June 6, 2001.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

Date: 22 August 2003

Kulie R. Daulton

Reg. No. 36,414

JRD:st

Date Mailed: August 22, 2003 Sheet 1 of 4

| FORM 1449* | ORMATION DISCLOSURE STATEMENT | Docket Number: 758.1254USC1 | Application Number: New Filing | | | |
|-------------------|-----------------------------------|--------------------------------|--------------------------------|--|--|--|
| IN AN APPLICATION | | Applicant: Xu et al. | | | | |
| | (Use several sheets if necessary) | Filing Date: August 22, 2003 | Group Art Unit: New Filing | | | |

| EXAMINER | DOCUMENT NO. | DATE | J.S. PATENT DOCUMEN NAME | CLASS | SUBCLASS | | DATE |
|----------|--------------|-------------------|------------------------------|-----------------|--------------|-------------|---------|
| INITIAL | | | | | | IF APPRO | JPKIATE |
| | 1,238,068 | 08/1917 | Slater et al. | | | | |
| | 2,502,545 | 04/1950 | Wellborn | | | | |
| | . 2,599,604 | 06/1952 | Bauer et al. | | | | |
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| | | | | | | YES | NO |
| | 703,823 | 02/1954 | GB | | | | |
| | 1171615 | 07/1989 | JР | | | | |
| | WO 97/40908 | 11/1997 | WO | | | | |
| | WO 97/40910 | 11/1997 | wo | | | | _ |
| | OTHER | DOCUMENT | 'S (Including Author, Title, | Date, Pertinent | Pages, Etc.) | | |
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| EXAMINER | DATE CONSIDERED |
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Date Mailed: August 22, 2003 Sheet 2 of 4

| FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | | Docket Number: 758.1254USC1 | Application Number: New Filing | | | |
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| | | Applicant: Xu et al. | | | | |
| | | Filing Date: August 22, 2003 | Group Art Unit: New Filing | | | |

| | | | U.S. PATENT DOCUMEN | TS | | | |
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| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | | G DATE OPRIATE |
| | 5,137,696 | 08/1992 | Hitachi et al. | | | | |
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| | | | | | | YES | NO |
| | WO 97/40917 | 11/1997 | wo | | | | |
| | WO 97/41939 | 11/1997 | wo | | | | |
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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Docket Number:

Applicant: Xu et al.

Application Number:

758.1254USC1

New Filing

IN AN APPLICATION

Filing Date: August 22, 2003

Group Art Unit: New Filing

| | | | U.S. PATENT DOCUMENT | | 0.17.02.105 | PH DIG | DATE |
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| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | | DATE OPRIATE |
| | 5,547,480 | 08/1996 | Coulonvaux | | | | |
| | 5,679,074 | 10/1997 | Siegel | | | | |
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| | 1 | F | OREIGN PATENT DOCUM | ENTS | | | |
| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
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| EXAMINER | DATE CONSIDERED |
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Date Mailed: August 22, 2003 Sheet 4 of 4

| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number: 758.1254USC1 | Application Number: New Filing | | | |
|---|--------------------------------|-----------------------------------|--|--|--|
| IN AN APPLICATION | Applicant: Xu et al. | | | | |
| (Use several sheets if necessary) | Filing Date: August 22, 2003 | Group Art Unit: New Filing | | | |

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| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING IF APPR | O DATE OPRIATE |
| | 6,190,432 | 02/2001 | Gieseke et al. | • | | | |
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